# United States Court of Appeals

FOR THE DISTRICT OF COLUMBIA CIRCUIT

### No. 15-1345

## September Term, 2016

SEC-3-15006

Filed On: June 26, 2017

Raymond J. Lucia Companies, Inc. and Raymond J. Lucia,

Petitioners

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Securities and Exchange Commission,

Respondent

**BEFORE:** Garland, Chief Judge,<sup>\*</sup> and Henderson, Rogers, Tatel, Brown, Griffith, Kavanaugh, Srinivasan, Millett, Pillard, and Wilkins, Circuit Judges

#### <u>JUDGMENT</u>

This cause came on to be heard on the petition for review of an order of the Securities & Exchange Commission and was argued by counsel. On consideration thereof, it is

**ORDERED** and **ADJUDGED** that the petition for review is denied by an equally divided court. See D.C. Cir. Rule 35(d).

#### Per Curiam

#### FOR THE COURT:

Mark J. Langer, Clerk

BY:

/s/ Michael C. McGrail Deputy Clerk

<sup>\*</sup> Chief Judge Garland did not participate in this matter.