

SEC & CFTC Enforcement Update

[October 6, 2022 | Client Update](#)

In June, July and August 2022, the SEC filed 127 actions and the CFTC filed 12, against a combined total of 290 defendants and respondents. (These figures exclude follow-on actions, bars and suspensions.) The SEC's actions included public company disclosure and accounting cases and actions against investment advisers, among others. The CFTC's actions concerned alleged misstatements and fraud, as well as crypto.

Read previous SEC & CFTC Enforcement Updates:

- [May 2022 issue](#)
- [April 2022 issue](#)
- [February & March 2022 issue](#)
- [January 2022 issue](#)
- [December 2021 issue](#)
- [October & November 2021 issue](#)
- [September 2021 issue](#)
- [August 2021 issue](#)
- [July 2021 issue](#)
- [June 2021 issue](#)
- [May 2021 issue](#)
- [April 2021 issue](#)
- [March 2021 issue](#)
- [February 2021 issue](#)
- [January 2021 issue](#)

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