

Investment Management Regulatory Update - March 2010

March 9, 2010 | Client Update

Breaking News on Enforcement Activity

- Hedge Funds Under Scrutiny for Bets Against the Euro SEC Rules and Regulations
- SEC Adopts Amendments to Money Market Fund Rules

Industry Update

- New Developments Regarding Pay-to-Play Arrangements
- Treasury Proposes “Volcker Rule” Legislative Text
- FINRA Offers Guidance on Members’ Use of Social Media Websites

Litigation

- Investment Advisory Firm Executive Settles Charges of Insider Trading in Shares of a Mutual Fund
- State Street Charged with Misleading Investors About Sub-Prime Mortgage Investments

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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