

New Short Sale Reporting Requirements

September 22, 2008 | Client Update

Under an SEC Emergency Order effective today, all institutional investment managers that are Form 13F filers for the calendar quarter ended June 30, 2008, will be required to file each week a Form SH reporting any short sale activity in Section 13(f) securities in the preceding seven calendar days. The Form SH must be filed electronically on the EDGAR system on a non-public basis but will become publicly available two weeks after the required date of filing.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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