

SEC Enforcement Director Introduces New Unit Chiefs and Cooperation Initiatives

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Securities and Exchange Commission (“SEC”) Director of Enforcement Robert Khuzami took three further steps Wednesday to make good on his promise to accelerate and improve the SEC’s enforcement processes. In particular, he named Chiefs for five new specialized investigative units, initiated the Office of Market Intelligence, and announced additional incentives and guidelines for cooperating witnesses.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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