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SEC Adopts Registration Rules for Security-Based Swap Dealers and Major Security-Based Swap Participants

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On August 5, 2015, the SEC unanimously adopted final rules and forms for the registration of security-based swap dealers and major security-based swap participants. The final rules draw heavily upon existing SEC registration regimes and have taken into account the CFTC's registration requirements for swap dealers and major swap participants.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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