

# SEC Proposes Whistleblower Rules

November 4, 2010 | Client Update

Yesterday the SEC announced and issued proposed rules that would implement the whistleblower provisions of the Dodd-Frank Act. The rules attempt to advance the Act's goal of encouraging whistleblowers while mitigating the perhaps unintended consequences inherent in providing large monetary incentives for providing information directly to the SEC.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

**Martine M. Beamon**

+1 212 450 4262  
martine.beamon@davispolk.com

**Michael Kaplan**

+1 212 450 4111  
michael.kaplan@davispolk.com

**Linda Chatman Thomsen**

+1 202 962 7125  
linda.thomsen@davispolk.com

---

*This communication, which we believe may be of interest to our clients and friends of the firm, is for general information only. It is not a full analysis of the matters presented and should not be relied upon as legal advice. This may be considered attorney advertising in some jurisdictions. Please refer to the firm's privacy notice for further details.*

## **Related materials**

[Read the full update](#)