Davis Polk

No SEC Shutdown

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The SEC has posted on its website that it “will remain open and operational in the event the federal government undergoes a lapse in appropriations on October 1. Any changes to the SEC’s operational status after October 1 will be announced on this website.” We understand that this means that *all* SEC operations, including review and acceleration of effectiveness of registration statements for securities offerings, review of periodic reports and other filings, rulemaking and interpretative advice, staff no-action letters and applications for exemptive relief will continue in the ordinary course until further notice.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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