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FINRA To Propose Market Structure Actions

September 30, 2014 | Client Update

On September 19, 2014, the Financial Industry Regulatory Authority announced that its Board of Governors approved a series of regulatory initiatives primarily focused on equity and fixed income market structure issues. This is a direct response to two important speeches this summer by Securities and Exchange Commission Chair Mary Jo White, in which she articulated an ambitious agenda of market structure reforms.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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