

New Hong Kong Professional Investors Reform – Are You Ready?

March 18, 2016 | Client Update

After two years of preparation, the Hong Kong professional investors regime under the SFC Code of Conduct (“Code”) will be tightened up this month. We hope this briefing will help you get ready for the changes which will impact the market on 25 March 2016 (except for certain contractual requirements with an impact date of 9 June 2017 – see the section “Effective dates”).

Readers who are engaged in the corporate finance or investment banking functions will find our key suggestions in the section “Practical solutions for investment bankers”.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

Martin Rogers

+852 2533 3307

martin.rogers@davispolk.com

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