

CFTC Staff Issues CCO Annual Report Guidance and Related Deadline Extension

January 6, 2015 | Client Update

On December 22, 2014, the CFTC's Division of Swap Dealer and Intermediary Oversight ("DSIO") issued an advisory (the "Advisory") providing guidance concerning chief compliance officer annual reports ("CCO Reports") by futures commission merchants ("FCMs"), swap dealers ("SDs") and major swap participants ("MSPs").

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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