Davis Polk

Dodd-Frank Progress Report - June 2013

June 3, 2013 | Client Update

- In the past month, no rulemaking requirements were due, no unmet rulemaking requirements were finalized and one rulemaking requirement was proposed.
- Although the CFTC released final rules governing the registration and regulation of swap execution facilities (SEFs) pursuant to required rulemaking authority, these rules have already been counted, as they were partially fulfilled due to previous CFTC rules incorporating SEFs into existing CFTC regulations and providing initial elements of the SEF core principle requirements.
- As of June 3, 2013, a total of 279 Dodd-Frank rulemaking requirement deadlines have passed. Of these 279 passed deadlines, 175 (62.7%) have been missed and 104 (37.3%) have been met with finalized rules.
- In addition, 153 (38.4%) of the 398 total required rulemakings have been finalized, while 128 (32.2%) rulemaking requirements have not yet been proposed.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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