

Dodd-Frank Progress Report - November 2011

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Davis Polk is pleased to present the November Dodd-Frank Progress Report. The 37 rulemaking deadlines in October bring the number to date up to 200 - half of the 400 total required by the statute. To this point, only 11% of the rules due have been finalized. Several key rules were proposed this month, including coordinated regulations implementing the Volcker Rule, the FSOC's criteria for designation of systemically important nonbank financial companies and the SEC's proposed rule on registration of security-based swap dealers and major security-based swap participants.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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