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FINRA Proposes to Amend Existing Equity Research Rules and Establish New Debt Research Rule

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The Financial Industry Regulatory Authority recently filed with the Securities and Exchange Commission a proposal to consolidate and amend FINRA's current equity research rules and a separate revised proposal to establish a debt research rule. The main differences between FINRA's Current Equity Rules and the Proposed Equity and Debt Rules are outlined in the chart attached to the following Memo.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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