

Investment Management Regulatory Update - April 2017

April 27, 2017 | Client Update

Industry Update

- IM Information Update Provides Guidance to Advisers Using a Participating Affiliate Structure
- IM Information Update Sets Out Framework for Requesting Tax Claims Letters
- Division of Investment Management Issues Guidance on Holding Companies and the Application of Rule 3a-2 under the Investment Company Act
- Financial CHOICE ACT Proposes to Exempt Private Equity Fund Advisers from Registration and Reporting Requirements of Advisers Act
- SEC Subpoena Serves as a Reminder to Fully Disclose IRR Calculations and Assumptions
- SEC Approves Move to T+2 Standard Settlement Cycle

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