

# Investment Management Regulatory Update - June 2014

June 23, 2014 | Client Update

## SEC Rules and Regulations

- SEC Grants No-Action Relief to Closed-End Funds for Filing Post-Effective Amendments to Registration Statements ?

## Industry Update

- Director of OCIE Discusses Private Equity Fund Compliance
- IM Information Update Requests Confirmations Accompanying Comparison Documents in Applications for Exemptive Relief
- CFTC Announces Streamlined Process to Grant No-Action Relief from Registration for Delegating CPOs ?

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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## Related materials

[6.23.14.IMG\\_Update.PDF](#)