

Investment Management Regulatory Update - December 2009

December 4, 2009 | Client Update

Industry Update

- Sen. Dodd Releases Restoring American Financial Stability Act of 2009
- SEC Commissioner Aguilar Discusses Recent Legislative Proposals
- Senate Committee Holds Hearing and Issues Report on Target Date Funds
- SEC's Donohue Discusses Closed-End Fund Takeover Defenses
- Recent Enforcement Developments ? Federal "Red Flags Rules" Enforcement Deadline is Pushed Back to June 1, 2010
- Federal Regulatory Agencies Release Final Model Privacy Forms

SEC Rules and Regulations

- SEC Extends Compliance Date for Regulation S-AM

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

John G. Crowley

+1 212 450 4550
john.crowley@davispolk.com

Nora M. Jordan

+1 212 450 4684
nora.jordan@davispolk.com

Leor Landa

+1 212 450 6160
leor.landa@davispolk.com

This communication, which we believe may be of interest to our clients and friends of the firm, is for general information only. It is not a full analysis of the matters presented and should not be relied upon as legal advice. This may be considered attorney advertising in some jurisdictions. Please refer to the firm's privacy notice for further details.

Related materials

[Read the full update](#)