Davis Polk

Investment Management Regulatory Update - October 2009

October 9, 2009 | Client Update

SEC Rules and Regulations

- SEC Adopts Interim Final Temporary Rule Requiring Money Market Fund Disclosure

Industry Update

- Rep. Kanjorski Releases Private Fund Investment Advisers Registration Act
- Rep. Kanjorski Releases Investor Protection Act
- FINRA Releases Proposed Amendment to Communications Rules
- SEC Establishes New Division of Risk, Strategy, and Financial Innovation
- Pay-to-Play Developments (New York and Federal)
- Treasury Department’s Guarantee Program for Money Market Funds Expires as Planned

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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