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Investment Management Regulatory Update - April 2009

April 7, 2009 | Client Update

On March 26, 2009, SEC Chairman Shapiro testified before the Senate Committee on Banking, Housing and Urban Affairs with regard to SEC objectives for investor protection enhancement and securities market regulation. In her prepared statement, Shapiro outlined the SEC's agenda for, among other things, strengthening oversight related to the safekeeping of investor assets, money market fund standards, credit rating agencies, investor access to public company proxies and short selling.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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