

## Private Equity Regulatory Update - July 2018

July 31, 2018 | Client Update

### Rules and Regulations

- SEC Proposes Whistleblower Rule Amendments

### Industry Update

- SEC Releases Strategic Plan for Fiscal Years 2018-2022

### Litigation

- SEC Charges New York-based Investment Adviser for Failing to Disclose Conflicts of Interest Related to Compensation Obtained from Third Parties
- SEC Charges Fund Managers for Failing to Offset Portfolio Company Fees, Disclosures Regarding Accelerated Fees
- SEC Charges a Financial Institution with Failure to Detect or Prevent Misappropriation of Client Funds

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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