

# Investment Management Regulatory Update - February 2019

February 28, 2019 | Client Update

## **Rules and Regulations**

- SEC Proposes Expansion of “Testing the Waters” Provisions

## **Industry Update**

- Commissioner Hester Peirce Provides Remarks at the University of Missouri School of Law
- SEC Chairman Clayton Delivers Speech on SEC Rulemaking in 2018, the Road Ahead and Challenges Posed by Brexit, the LIBOR Transition and Cybersecurity Risks

## **Litigation**

- U.S. District Court of New Jersey Dismisses Excessive-Fee Lawsuit Against BlackRock
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## Related materials

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