

Investment Management Regulatory Update - May 2020

May 29, 2020 | Client Update

COVID-19 Update

- SEC Forms Cross-Divisional COVID-19 Market Monitoring Group

Rules and Regulations

- SEC Proposes to Modernize Framework for Registered Fund Valuation Practices

Industry Update

- Commissioner Elad L. Roisman Delivers Remarks Before the Council of Institutional Investors' Conference

Litigation

- SEC Charges Private Equity Firm with Compliance Failures Relating to Investment in Publicly-Traded Company
 - SEC Charges Private Fund Manager with Custody Rule Violations Arising From Failures to Distribute Audited Financial Statements
 - SEC Charges Investment Adviser with Alleged Valuation Errors Leading to NAV Overstatement
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