

Investment Management Regulatory Update - July 2020

July 31, 2020 | Client Update

Rules and Regulations

- SEC Proposes Amendments to Update Form 13F for Institutional Investment Managers
- SEC Adopts Amendments to Exemptive Application Procedures

Industry Update

- SEC Issues Supplemental Guidance Concerning Proxy Voting Responsibilities of Investment Advisers

Litigation

- SEC Settles with Investment Advisers for Allegedly Causing Managed Funds to Exceed Investment Company Act Ownership Limits

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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