

Security-Based Swap Dealers: Preparing for Trade Reporting

September 16, 2016 | Articles & Books

This article first appeared in *Compliance Reporter*.

A key hurdle in preparing for life as a security-based swap dealer (SBSD) will be implementing operational changes and written policies and procedures to report new security-based swaps (SBS) and backload legacy trades. These requirements are included in the trade reporting regime, known as Regulation SBSR, that was recently finalized by the Securities and Exchange Commission.

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