

SEC Announces Agenda and Panelists for Roundtable on Proxy Advisory Services

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The SEC has announced its [agenda and panelists](#) for the roundtable on proxy advisory services to be held on December 5 at 9:30 a.m. EST. The event will be webcast live as well as archived.

The roundtable will be divided into two sessions, with the first session focused on the current use of proxy advisory services, including the factors that may have contributed to their use, the purposes and effects of using the services, and competition in the marketplace for such services. In the second session, participants will discuss issues identified in the Commission's proxy plumbing concept release, including potential conflicts of interest that may exist for proxy advisory firms and users of their services, and the transparency and accuracy of recommendations by proxy advisory firms.

The 18 panelists include the heads of ISS, Glass Lewis and Proxy Governance; law firm representatives including Davis Polk's Yukako Kawata; investor representatives including BlackRock, Charles Schwab, the AFL-CIO and CalSTRS; former SEC personnel including Harvey Pitt and Lynn Turner; as well as representatives from the Society of Corporate Secretaries and Governance Professionals, the Council of Institutional Investors and GMI Ratings.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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