

Investment Management Regulatory Update – March 2021

March 30, 2021 | Client Update

Rules and Regulations

- Effective Date Set for Final Rule on Registered Fund Valuations
- Effective Date Set for Modernized Marketing Rule for Investment Advisers
- SEC Staff Statement on Registered Fund Cross Trading

Industry Update

- SEC Division of Examinations Releases Examination Priorities for 2021
- SEC Division of Examinations Risk Alert on Digital Asset Securities

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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