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SEC Proposes Whistleblower Rules

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Yesterday the SEC announced and issued proposed rules that would implement the whistleblower provisions of the Dodd-Frank Act. The rules attempt to advance the Act's goal of encouraging whistleblowers while mitigating the perhaps unintended consequences inherent in providing large monetary incentives for providing information directly to the SEC.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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