Davis Polk

Investment Management Regulatory Update - April 2017

April 27, 2017 | Client Update

Industry Update

- IM Information Update Provides Guidance to Advisers Using a Participating Affiliate Structure
- IM Information Update Sets Out Framework for Requesting Tax Claims Letters
- Division of Investment Management Issues Guidance on Holding Companies and the Application of Rule 3a-2 under the Investment Company Act
- Financial CHOICE ACT Proposes to Exempt Private Equity Fund Advisers from Registration and Reporting Requirements of Advisers Act
- SEC Subpoena Serves as a Reminder to Fully Disclose IRR Calculations and Assumptions
- SEC Approves Move to T+2 Standard Settlement Cycle

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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