

Investment Management Regulatory Update - June 2014

June 23, 2014 | Client Update

SEC Rules and Regulations

- SEC Grants No-Action Relief to Closed-End Funds for Filing Post-Effective ?Amendments to Registration Statements ?

Industry Update

- Director of OCIE Discusses Private Equity Fund Compliance
- IM Information Update Requests Confirmations Accompanying Comparison ?Documents in Applications for Exemptive Relief
- CFTC Announces Streamlined Process to Grant No-Action Relief from ?Registration for Delegating CPOs ? Registration

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

John G. Crowley

+1 212 450 4550
john.crowley@davispolk.com

Nora M. Jordan

+1 212 450 4684
nora.jordan@davispolk.com

Leor Landa

+1 212 450 6160
leor.landa@davispolk.com

Gregory S. Rowland

+1 212 450 4930
gregory.rowland@davispolk.com

This communication, which we believe may be of interest to our clients and friends of the firm, is for general information only. It is not a full analysis of the matters presented and should not be relied upon as legal advice. This may be considered attorney advertising in some jurisdictions. Please refer to the firm's privacy notice for further details.

Related materials

[Read the full update](#)