

Investment Management Regulatory Update - January 2006

January 18, 2006 | Client Update

- SEC Interpretations: No-Action Letters1
- SEC Enforcement Actions6
- NASD Developments13
- Industry Update14

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

Nora M. Jordan

+1 212 450 4684

nora.jordan@davispolk.com

Leor Landa

+1 212 450 6160

leor.landa@davispolk.com

This communication, which we believe may be of interest to our clients and friends of the firm, is for general information only. It is not a full analysis of the matters presented and should not be relied upon as legal advice. This may be considered attorney advertising in some jurisdictions. Please refer to the firm's privacy notice for further details.

Related materials

[Read the full update](#)