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FINRA Issues Voluntary Interim Form for Crowdfunding Portals

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On January 10, 2013, the Financial Industry Regulatory Authority ("FINRA") announced that it is inviting prospective crowdfunding funding portals to voluntarily submit information to FINRA about their proposed business models, activities and operations. According to FINRA, the purpose of the information collection is to help FINRA become more familiar with the funding portal community and to assist it in developing rules specific to funding portals.

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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