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# Private Equity Regulatory Update - July 2018

July 31, 2018 | Client Update

**Rules and Regulations**

- SEC Proposes Whistleblower Rule Amendments

**Industry Update**

- SEC Releases Strategic Plan for Fiscal Years 2018-2022

**Litigation**

- SEC Charges New York-based Investment Adviser for Failing to Disclose Conflicts of Interest Related to Compensation Obtained from Third Parties
- SEC Charges Fund Managers for Failing to Offset Portfolio Company Fees, Disclosures Regarding Accelerated Fees
- SEC Charges a Financial Institution with Failure to Detect or Prevent Misappropriation of Client Funds

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