

Private Equity Regulatory Update - September 2018

September 28, 2018 | Client Update

Industry Update

- SEC Chairman Gives Remarks on Capital Formation

Litigation

- Regulators Step Up Enforcement on Crypto Firms
 - SEC Resolves FCPA Allegations Against U.S.-Based Investment Firm for Bribery of Qaddafi-era Libyan State-Owned Enterprises
 - SEC Settles with Massachusetts Financial Services for \$1.9M for Advertising Misstatements
 - SEC Settles with VSS Fund Management LLC and Its General Partner for Failure to Disclose Valuation Increase to Exiting Limited Partners in Buy-out
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