

Private Equity Regulatory Update - October 2018

October 23, 2018 | Client Update

Industry Update

- Director of Division of Investment Management Testifies About Division Oversight
- Co-Director of SEC Enforcement Steven Peikin Gives Remarks on Remedies and Relief in SEC Enforcement Actions

Litigation

- Federal District Court Concludes that Virtual Currencies Are Commodities Subject to the CFTC's Jurisdiction
- SEC Settles with Voya Financial Advisors, Inc. for Failing to Adopt and Implement Sufficient Policies to Prevent Identity Theft
- SEC Settles with LendingClub Asset Management for Undisclosed Conflicts of Interest, Return Adjustment, in Connection with Investments in LendingClub Loans

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

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