Davis Polk

Private Equity Regulatory Update - December 2018

December 21, 2018 | Client Update

Industry Update

- Remarks from Commissioner Peirce before the Crypto Valley Summit
- SEC Chairman, Commissioners Deliver Remarks at Proxy Process Roundtable
- SEC Public Statement on Digital Asset Securities Issuance and Trading
- OCIE Issues Risk Alert regarding Investment Adviser Examinations Relating to Electronic Messaging

Litigation

- CFTC Obtains Consent Order, Default Judgment Against Principals of Fictitious “Algorithmic Hedge Fund”
- SEC Settles with Former Investment Adviser for Failing to Adopt and Implement Reasonably Designed Policies and Procedures Regarding Advisory Fees

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

John G. Crowley
+1 212 450 4550
john.crowley@davispolk.com

Lee Hochbaum
+1 212 450 4736
lee.hochbaum@davispolk.com

Michael S. Hong
+1 212 450 4048
michael.hong@davispolk.com

Nora M. Jordan
+1 212 450 4684
nora.jordan@davispolk.com

Leor Landa
+1 212 450 6160
leor.landa@davispolk.com

Gregory S. Rowland
+1 212 450 4930
gregory.rowland@davispolk.com

Amelia T.R. Starr
+1 212 450 4516
amelia.starr@davispolk.com

Marc J. Tobak
+1 212 450 3073
marc.tobak@davispolk.com

James H.R. Windels
+1 212 450 4978
james.windels@davispolk.com

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