

# Investment Management Regulatory Update - January 2020

January 31, 2020 | Client Update

## **Rules and Regulations**

- SEC Proposes to Expand Access to Private Offerings
- SEC Proposes Rule Changes for Auditor Independence Requirements

## **Industry Update**

- SEC's National Examination Program Releases Examination Priorities for 2020
- SEC Issues Orders Granting Exemptive Relief for Certain Non-Transparent Actively Managed ETFs

## **Litigation**

- Second Circuit Decision and Proposed Legislation On Insider Trading Law
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## Related materials

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