

Investment Management Regulatory Update - November 2020

November 24, 2020 | Client Update

Rules and Regulations

- SEC Simplifies Private Offering Rules
- Withdrawal of SEC Staff No-Action Letters Related to Rulemaking on Funds of Funds and ETFs

Industry Update

- OCIE Issues Risk Alert on Observations from Examinations of Investment Advisers with Multiple Branch Offices
- SEC Division of Investment Management Chief Accountant's Office Issues "Dear CFO" Letter

If you have any questions regarding the matters covered in this publication, please reach out to any of the lawyers listed below or your usual Davis Polk contact.

John G. Crowley

+1 212 450 4550
john.crowley@davispolk.com

Lee Hochbaum

+1 212 450 4736
lee.hochbaum@davispolk.com

Michael S. Hong

+1 212 450 4048
michael.hong@davispolk.com

Nora M. Jordan

+1 212 450 4684
nora.jordan@davispolk.com

Sarah E. Kim

+1 212 450 4408
sarah.e.kim@davispolk.com

Leor Landa

+1 212 450 6160
leor.landa@davispolk.com

Gregory S. Rowland

+1 212 450 4930
gregory.rowland@davispolk.com

Amelia T.R. Starr

+1 212 450 4516
amelia.starr@davispolk.com

Marc J. Tobak

+1 212 450 3073
marc.tobak@davispolk.com

James H.R. Windels

+1 212 450 4978
james.windels@davispolk.com

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