

Security-Based Swap Dealers: Preparing for Trade Reporting

September 16, 2016 | Articles & Books

This article first appeared in *Compliance Reporter*.

A key hurdle in preparing for life as a security-based swap dealer (SBSD) will be implementing operational changes and written policies and procedures to report new security-based swaps (SBS) and backload legacy trades. These requirements are included in the trade reporting regime, known as Regulation SBSR, that was recently finalized by the Securities and Exchange Commission.

Related materials

[Read the full article](#)