

Investment Management Regulatory Update – May 2021

May 27, 2021 | Client Update

Rules and Regulations

- Updated FAQs on Modernized Registered Investment Company Reporting
- SEC Staff Statement Regarding Termination of Exemptive Relief and Withdrawal of Staff Letters Related to COVID-19 Response

Industry Update

- The SEC Division of Examinations Issues Risk Alert Regarding ESG Investing
- SEC Statement on Registered Funds Bitcoin Investing

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