

## Investment Management Regulatory Update – March 2021

March 30, 2021 | Client Update

### **Rules and Regulations**

- Effective Date Set for Final Rule on Registered Fund Valuations
- Effective Date Set for Modernized Marketing Rule for Investment Advisers
- SEC Staff Statement on Registered Fund Cross Trading

### **Industry Update**

- SEC Division of Examinations Releases Examination Priorities for 2021
- SEC Division of Examinations Risk Alert on Digital Asset Securities

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