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# Dodd-Frank One-Year Progress Report

Presented by

**Annette L. Nazareth**

**Margaret E. Tahyar**

**Gabriel D. Rosenberg**

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**Davis Polk**

Davis Polk & Wardwell LLP

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# Presenters



## **Annette L. Nazareth**

Annette is a partner in our Financial Institutions Group, practicing in the Washington DC office. She advises clients across a broad range of complex regulatory matters and transactions. She also works closely with our SEC enforcement practice, counseling non-financial sector corporations that are subject to government regulatory and enforcement actions.



## **Margaret E. Tahyar**

Margaret is a partner in our Financial Institutions Group. Her practice focuses on providing strategic bank regulatory and financial regulatory reform advice and advising on troubled bank M&A and recapitalizations and capital markets transactions where the target or issuer is a financial institution. She also advises on corporate governance and securities settlement systems and payment systems.



## **Gabriel D. Rosenberg**

Gabriel is an associate in our Financial Institutions Group. He advises financial institutions, corporations and industry groups on the requirements, impact and implementation of the Dodd-Frank financial reform legislation, particularly the regulatory treatment, trading and clearing of swaps.

# Dodd-Frank Webcast Series

**One Year Progress Report**

**July 22, 11:00 a.m. EDT / 8:00 a.m. PDT**

**Impact on Bank Holding Companies**

**July 25, 11:00 a.m. EDT / 8:00 a.m. PDT**

**Impact on Swap Dealers**

**July 26, 11:00 a.m. EDT / 8:00 a.m. PDT**

**Impact on Private Equity/  
Hedge Funds**

**July 27, 11:00 a.m. EDT / 8:00 a.m. PDT**

**Impact on End Users of Swaps**

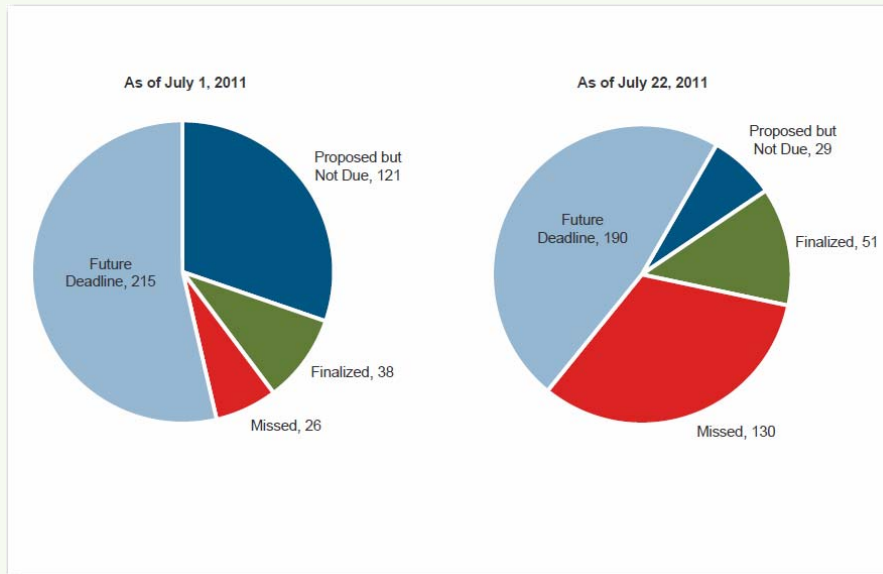
**July 28, 11:00 a.m. EDT / 8:00 a.m. PDT**

**Emerging Litigation Trends**

**July 29, 11:00 a.m. EDT / 8:00 a.m. PDT**

If you are interested in participating in any of these events, email [dodd.frank.progress.report@davispolk.com](mailto:dodd.frank.progress.report@davispolk.com) for registration information.

# Dodd-Frank Progress Report



**This Davis Polk Dodd-Frank Progress Report marks the one year anniversary of the Dodd-Frank Act. It uses empirical data to illustrate graphically the progress of the rulemaking work that has been done in the Act's first year and is yet to occur.**

For more information regarding the Progress Report, or to be added to our mailing list, please contact [dodd.frank.progress.report@davispolk.com](mailto:dodd.frank.progress.report@davispolk.com).

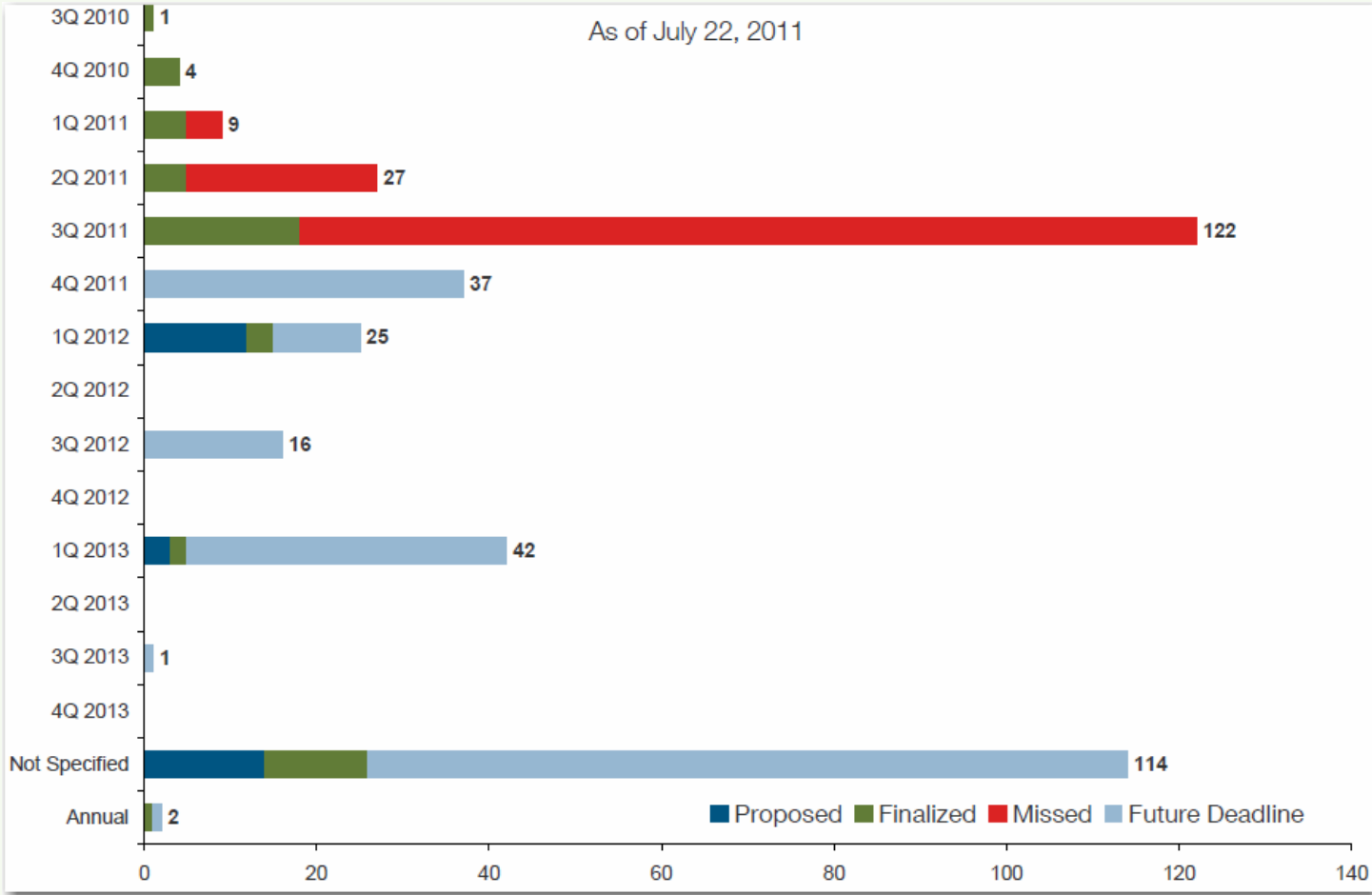
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# Regulator Resources

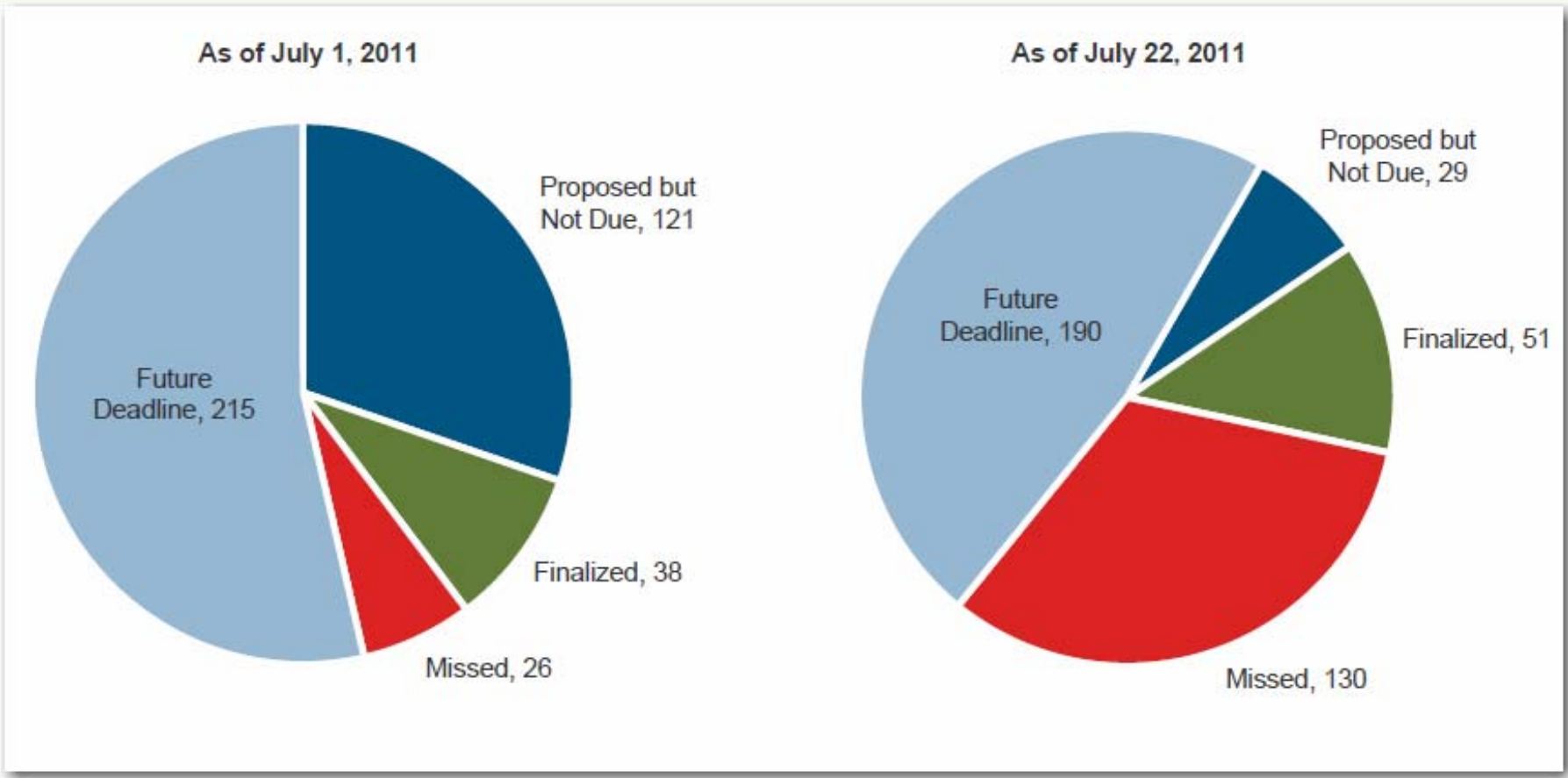
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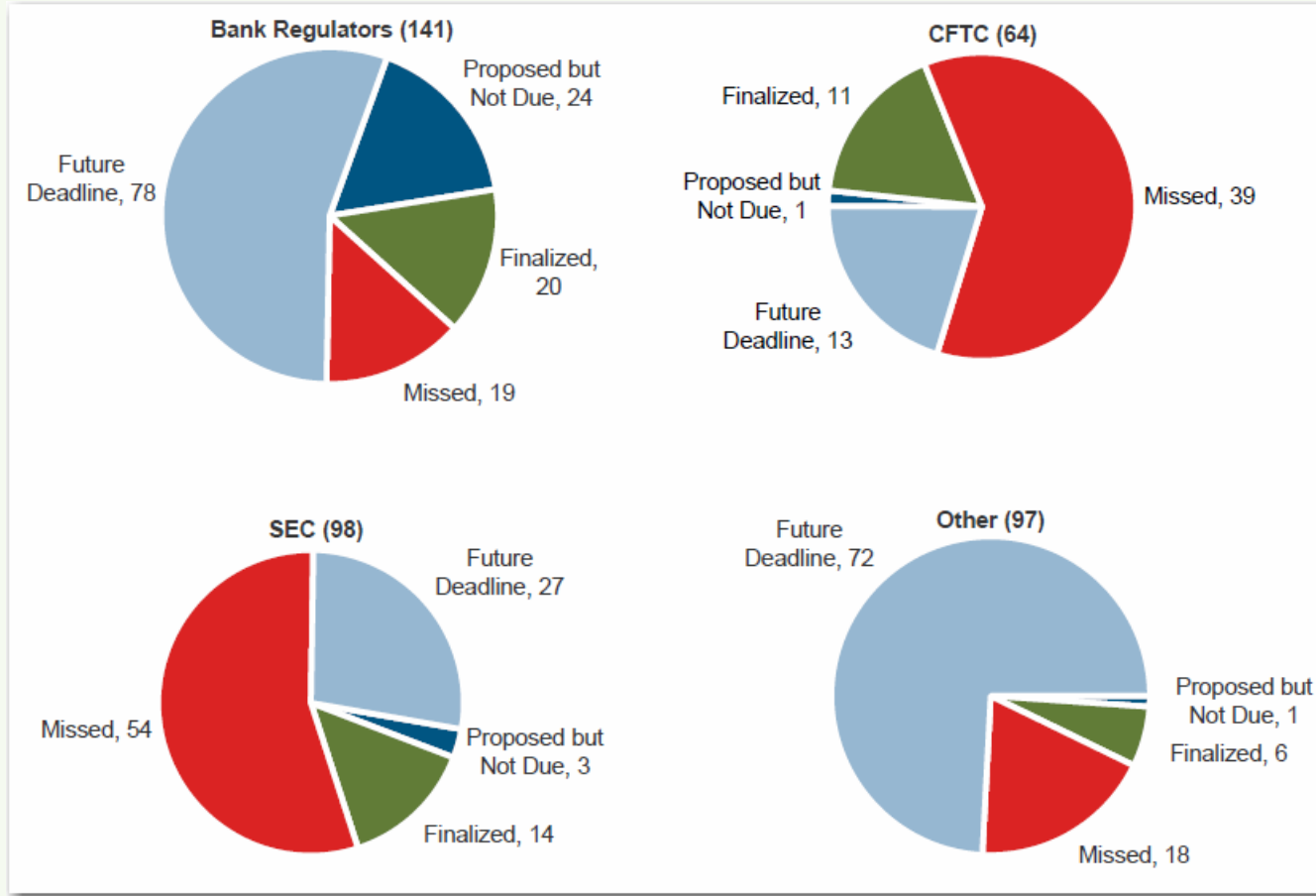
# Dodd-Frank Rulemaking Progress by Due Date



# Dodd-Frank Rulemaking Progress by Month

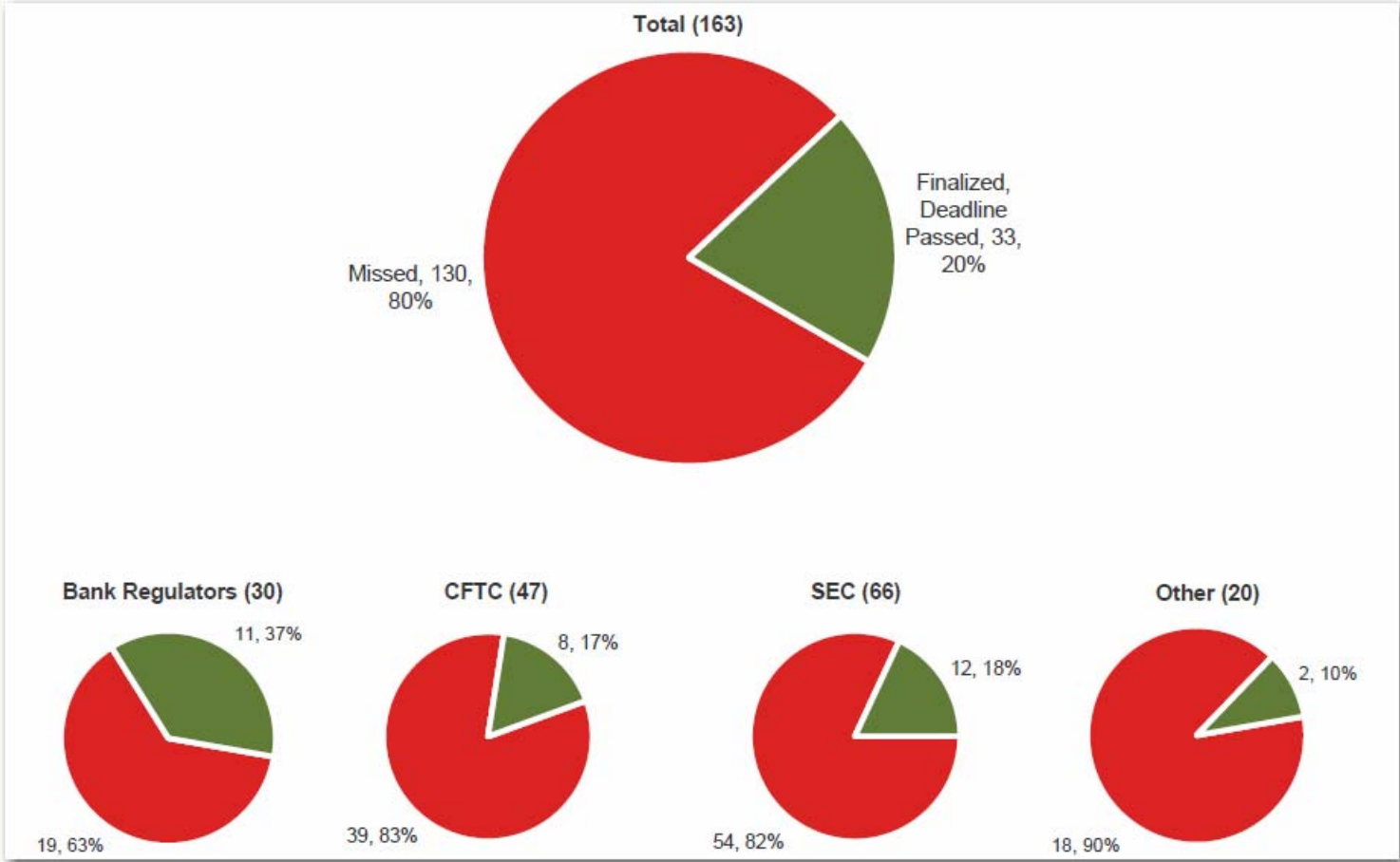


# Dodd-Frank Rulemaking Progress by Agency

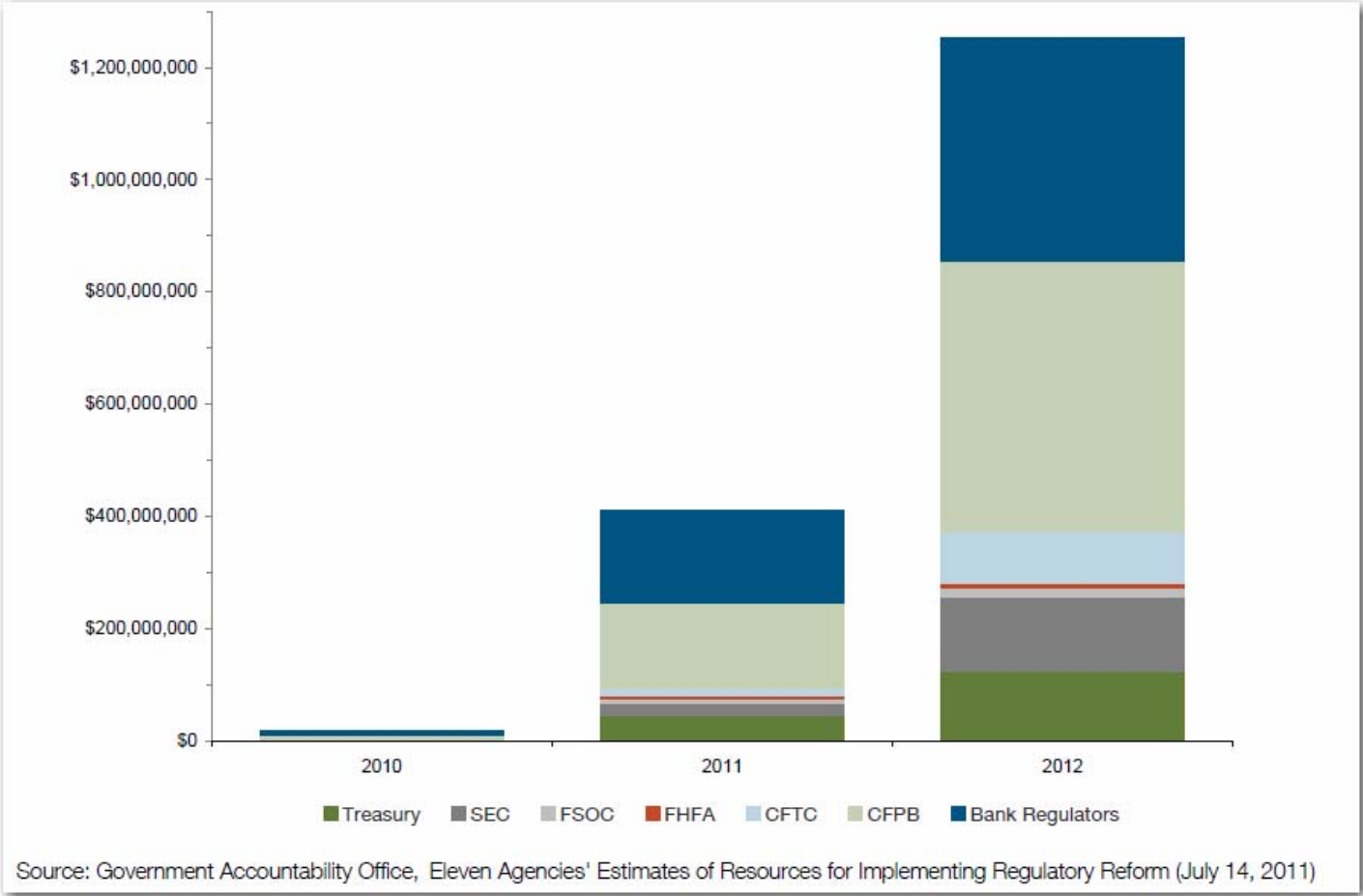




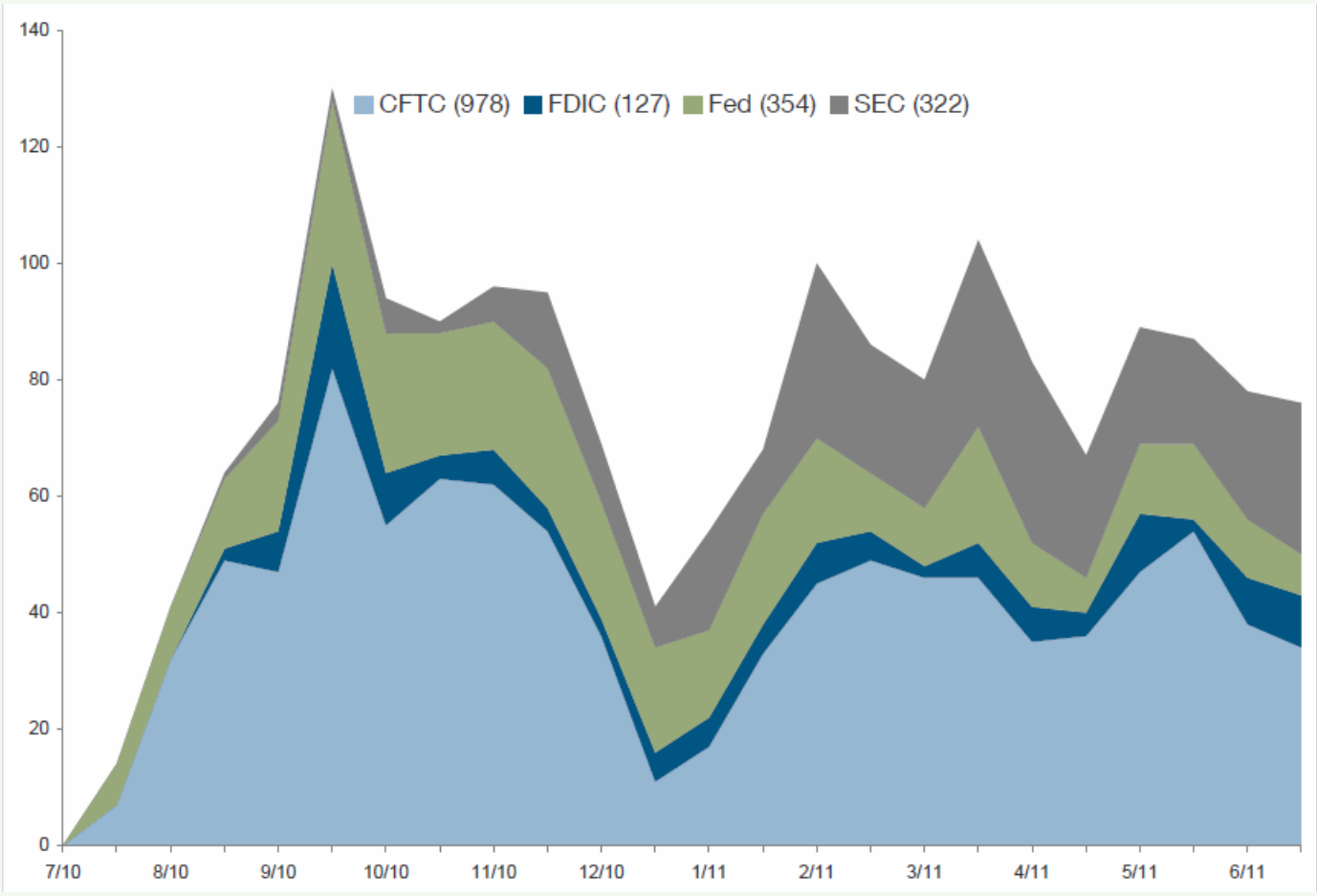
# Rulemaking Progress on 163 Passed Deadlines



# GAO: Agency Dodd-Frank Implementation Resources



# Regulator Meetings with Outside Participants Over Time



# Regulator Meetings with Outside Participants – Top 5 Topics

## FDIC

- Bank Assessments
- Orderly Liquidation Authority
- Credit Risk Retention
- Study on Core and Brokered Deposits
- Risk-Based Capital Guidelines for Banks

## CFTC

- Swap and Security-Based Swap Entity Definitions
- Swap and Security-Based Swap Reporting and Recordkeeping
- Position Limits for Derivatives
- Swap Execution Facilities
- Capital and Margin for Swaps and Security-Based Swaps

## Federal Reserve

- Debit Card Interchange Fees and Routing
- Credit Risk Retention
- Implementation of the Volcker Rule
- Designation of Non-Bank Financial Companies as Systemically Important
- Remittance Transfers

## SEC

- Swap and Security-Based Swap Reporting and Recordkeeping
- Swap and Security-Based Swap Entity Definitions
- Conflict Minerals
- Whistleblower Regulations
- Security-Based Swap Execution Facilities / Municipal Advisors / Infrastructure Ownership and Governance (tie)

# Presenters Contact Information

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## CONTACTS

## PHONE

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